

**MINUTES OF THE 35th MEETING OF THE FINANCE COMMITTEE
(THROUGH HYBRID MODE)
HELD ON 14th AUGUST, 2023 AT NIT UTTARAKHAND**

The following members were present:

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| 1. Dr. R. K. Tyagi | - | Chairman, NITUK |
| 2. Prof. Lalit Kumar Awasthi | - | Director, NITUK |
| 3. Shri. Anil Kumar | - | Director, IFD, MoE |
| 4. Ms. Veena Dunga | - | Deputy Secretary, MoE |
| 5. Prof. Kamal Kishore Pant | - | Director, IIT Roorkee |
| 6. Dr. Dharmendra Tripathi, I/c Registrar | - | Member Secretary |

At the outset Director welcomed the Chairman, NITUK and all the members, and requested Chairman, Finance Committee to preside over today's meeting of the Finance Committee.

After approval of the Chairman, committee discussed the following agenda and resolved as under:

FC 35.01: To confirm the Minutes of the 34th Meeting of the Finance Committee.

The Minutes of the 34th Meeting of the Finance Committee, duly approved by the Chairman, are enclosed at **(Annexure FC 35.01)**.

Finance Committee is requested to confirm the same.

Resolution: Confirmed.

FC 35.02: Action Taken Report.

The Action Taken Report is as below:

Agenda Item No.	Agenda Items	Resolution	Action Taken
FC 34.02	Action Taken Report.	Noted.	Noted.
FC 34.03	To apprise the Finance Committee about construction activities, status of procurement of Financial Year 2022-23 and note the Audit Inspection Report for the financial year 2020-21 and 2021-22.	(A) Noted.	Noted.
		(B) Noted.	Noted.
		(C) Noted. A communication be made with CPWD to submit the date of completion of the work of Phase I.	Communication has been done with CPWD.
		(D) Finance Committee recommends the committee report to the Board for consideration.	Noted.
		(E) Noted.	Noted.
		(F) Noted.	Noted.
FC 34.04	Approval of Annual Procurement Plan (APP) for the Financial Year 2023-24.	Finance Committee recommends the Annual Procurement Plan (APP) for the Financial Year 2023-24 to the Board for approval.	Noted for implementation.

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FC 34.05	Procurement of seven seater vehicle for career counseling and placement section.	Finance Committee recommends the Procurement of seven seater vehicle for career counseling and placement section to the Board with budget provisions of Rs.24.90 lakh from the Institute fund (Student Related Activity Fund). The procurement be made as per GFR 2017 and through GeM only.	Noted. The procurement process is in progress.
FC 34.06	Renewal of Subscription of e-resources for the period of 1st July 2023 to 30th June 2024 (for Science Direct) and 1st April 2023 to 31st March 2024 (for IEEE-IEL).	Finance Committee recommends the Renewal of Subscription of e-resources for the period of 1st July 2023 to 30th June 2024 (for Science Direct) and 1st April 2023 to 31st March 2024 (for IEEE-IEL) to the Board for approval. The status of usage of the resources during last year shall be presented in the next Board meeting.	The subscription of (i) IEEE-IEL and (ii) ScienceDirect has been done. The usage report for both the databases has been attached as (Annexure FC 35.02) .
FC 34.07	Subscription of Research citation database (Scopus).	Finance Committee recommends the procurement of subscription of Research citation database (Scopus) to the Board for approval.	The subscription for Scopus database has been started since 01/07/2023.
FC 34.08	Regarding Guidelines for Summer Internship/Training of Master students from other Institutes at NIT Uttarakhand.	Finance Committee recommends the proposal to the Board for approval for one year only, the Scheme shall be reviewed after one year.	Implemented without any financial assistance to the students.
FC 34.09	Any other item with the permission of the Chair.	NIL.	NIL.

Finance Committee is requested to note the same.

Resolution: Noted.

FC 35.03: Approval regarding re-appropriation of fee structure for admission in Self Sponsored M.Tech programme (Academic year 2023-2024 onwards) and allowing vacant seats of CCMT to be filled through Self-Sponsored Scheme.

As per FC 30.04 Fee structure for M.Tech Self Sponsored programme was approved and accordingly admission process was conducted by the Institute in the Academic Year 2022-23 .But only 04 students were admitted against 25 seats. Rest 21 seats were vacant. After review of process it was observed that due to hike in fees students are not willing to take admission in NIT Uttarakhand under self-sponsored scheme.

Therefore, it is proposed that fees for the Self Sponsored M.Tech programme may be revised as per Fee structure of M.Tech students admitted through CCMT in NIT Uttarakhand. Details are as under:

	Fees for Self sponsored candidates approved by FC	Fees for other M.Tech candidates admitted through CCMT*
1 st Semester	80,000/-	62,714/-
2 nd Semester	60,000/-	42,300/-
3 rd Semester	60,000/-	43,450/-
4 th Semester	60,000/-	42,300/-

*Institute Security Deposit of Rs.3000/- Book Bank caution money Rs.500, additional Security Deposit Rs.5000/- is refundable.

It is further proposed that the seats lying vacant through CCMT will also be

considered for filling up through Self-Sponsored Scheme in respective of reservation categories in the Departments.

The Finance Committee is requested to recommend the proposal to the Board for approval.

Resolution: The Finance Committee recommends the fees structures to the Board for approval for Self-Sponsored Candidates as follows:

	Fees for Self sponsored candidates
1 st Semester	72,714/-
2 nd Semester	52,300/-
3 rd Semester	53,450/-
4 th Semester	52,300/-

Also, if the available the seats through CCMT be filled from the Self-Sponsored candidate with above fee structure and not scholarship.

FC 35.04: Regarding releasing of RD amount of Trainee Teacher.

In compliance to the MHRD Order No.F.23-12/2009-TS.III (Pt.) dated 22/03/2013, the Trainee Teachers Scheme was adopted by the Institute in the 03rd meeting of the BoG vide agenda item no.03.11 in the Year of 2013. Institute appointed the Trainee Teachers in the year 2014, 2015 and 2016.

As per the Trainee Teacher Scheme, 20% of the consolidated salary has been put in the Recurring Deposit (RD) since their joining. Most of the Trainee Teachers has completed their PhD and absorbed/regularized as Assistant Professor (Gr-II) in the Institute.

As per the Trainee Teacher Scheme, after completion of PhD the releasing of deposited RD amount to Trainee Teacher is mentioned at several places. Details are as under:

As per point no. 08; 'Bonds/Commitment for service' of the 'salient features of the Trainee Teacher Scheme', *"The trainee teacher will receive his/her total contracted salary in two parts. One part will be paid to him/her on monthly basis. The second part of the salary will be put in RD in bank. **This part is to be paid to TT after successful completion of their PhD and continuation in service at the host NIT for three years and paid in three annual installments.** In case TT fails to acquire PhD in the stipulated maximum period and also in case s/he discontinues from the service in parent NIT after successfully completion of his PhD, the balance amount will be forfeited. If TT fails to acquire PhD in the maximum stipulated time, she/he will be discontinued from this scheme."*

As per point no. 02; 'the Duration and salary' of the Trainee Teacher Scheme, *"The status of Trainee Teachers will be like Contractual Employees with annually increasing consolidated salary on and equivalent scale (compensation higher than a regular PhD fellow but lower than an Assistant Professor) with regular leave, medical facilities, accommodation/ HRA as applicable, transport allowance etc. Also all the fees and some professional expenses towards the M. Tech. and PhD programme will be reimbursed through this scheme. Part of the salary will be retained by the Institute, placed in Recurring Deposit (RD) and this would be returnable to the Trainee in a phased manner; only after successful completion of PhD."*

As per Annexure-1: Worksheet for Unit Cost Calculations of the Trainee Teacher

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Scheme: "(20% of consolidated salary to be deducted initially and put in recurring deposit (RD). At the end of 7/8 years, the deposit should be a substantial amount. **This amount would be released in a phased manner on successful completion of the programme).**"

In view of the above, it is not clear that whether the amount of RD can be released after completion of PhD in three annual installments or same can be released after completion of three years' service at the host NIT after PhD in three annual installments. A communication was done with Ministry of Education on 25.01.2023 regarding clarification for releasing of RD amount enclosed as **(Annexure FC 35.03)**. But no reply has been received till date.

The Institute has received several applications of Trainee Teachers regarding the disbursement of the RD amount.

Finance Committee is requested to deliberate upon the same and decide regarding releasing of RD amount to Trainee Teachers.

Resolution: Finance Committee recommends that RD amount to be paid to Trainee Teachers after successful completion of their PhD plus three years continuous service at the host NIT in three annual installments.

FC 35.05: Enhancing Consultancy Opportunities: Empowering Principal Investigators as Authorized Signatories for participating in tendering process of consultancy projects only.

The institute frequently receives opportunities for consultancy works via open tenders, specifically in areas such as Third-Party Quality Assurance (TPQA), Project Monitoring, and Auditing from PSU's and Government Units. These tenders are exclusively open for participation from renowned institutions like National Institutes of Technology (NITs) and Indian Institutes of Technology (IITs). Leveraging our faculty's expertise and abundant resources, we aim to actively engage in these consultancy projects, thereby generating revenue for the institute. To participate in these consultancy projects, one of the key prerequisites is the provision of an Affidavit, which serves as an authorization from the institute's representative. As per our approved Research and Consultancy Policy, the Principal Investigator (PI) is entrusted with the responsibility of overseeing the successful execution of consultancy projects. The selection of the PI follows the guidelines outlined in the R&C Policy and is subject to approval by the Director.

To streamline the tendering process and ensure seamless and timely participation in consultancy tenders, it is proposed to designate the Principal Investigator (PI) of a consultancy project as the Authorized Signatory for that specific endeavor. This alignment aligns with the existing accountability structure, as the PI is already responsible for the project's overall management. This strategic approach will bolster our reputation as a capable institution and further contribute to our mission of excellence in research and consultancy endeavors.

Finance Committee is requested to approve the same.

Resolution: Finance Committee recommends the proposal to the Board for approval. The PI will inform to the Director and Dean R&C while applying for consultancy Projects. All the consultancy amount will be credited to the Institute R&C account and further distribution will be as R&C policy of the Institute.

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FC 35.06: Proposal for appointment of Security Officer and Recruitment Officer through outsourcing.

Institute is presently functioning from its Srinagar campus. There are almost 600 students and about 120 employees. About 64 security personnel are deputed through outsourced agency i.e. M/s. Secureshield Security Services Private Limited for the security services of the Institute. To operate the security services of the Institute in a smooth manner, there is a requirement a Security Officer in the Institute.

Further, to complete all the recruitment process of the Institute in proper and smooth manner there is also a requirement of Recruitment Officer so that the recruitment cell of the Institute can function in smooth manner.

In view of the above it is proposed that one post of Security Officer and one post of Recruitment Officer may be sanctioned to the Institute through outsourced service provider. The details are as under:

Sl. No.	Designation	Minimum Qualification	Work Experience	Consolidated Salary (per month)
01.	Security Officer	Graduation	10 years' experience in Group-A posts in Indian Army/ Paramilitary Forces/Police. Or 10 years' experience in managing Security Services at Group-A level in CFTIs.	Rs.50,000/-
02.	Recruitment Officer	Graduation + MBA	10 years' experience in the field of recruitment in Central Govt./State Govt./Autonomous Bodies/PSUs at the level of Group-A.	Rs.50,000/-

Finance Committee is requested to sanction one post of Security Officer and one post of Recruitment Officer.

Resolution: The Finance Committee recommends one post of Security Officer only as proposed at sl.no. 01 through outsource agency for approval to the Board. The proposal at sl.no. 02 for Recruitment Officer was withdrawn and a new proposal for Placement Officer be placed in the next Finance Committee and Board.

FC 35.07: To apprise the Finance Committee about status of re-tendering for construction and development of NIT Uttarakhand - Sumari Campus.

Institute is making sincere efforts to establish permanent campus of NIT Uttarakhand at Sumari for 1260 students as per the PIB approval. PIB has approved the construction and development of infrastructural facilities at NIT Uttarakhand Sumari Campus under Civil work Component with an approved cost of ₹ 596.75 crores. The Institute floated tender for competitive bidding among CPSU's for selection of Project Monitoring Consultancy (PMC) for construction and development of infrastructural facilities at NIT Uttarakhand Sumari Campus and NBCC (India) Ltd. is selected as PMC. The brief chronology of the events is as under:

Sl. No.	Subject	Date
1.	PIB approval for revised cost estimates (RCE) for setting up of permanent campus of NIT, Uttarakhand	30-06-2020

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2.	Approval from MoE to initiate the necessary infrastructure at the Sumari Campus as per observations/recommendation of Expert Committee (F.No. 40-65/2018-TS.III)	25-11-2020
3.	Invitation of Bids from agencies eligible under GFR2017-133(3) for providing Comprehensive design Engineering and project management consultancy (PMC) services for construction and development of Infrastructural facilities in the main Campus of NIT Uttarakhand at Sumari (Tender published on CPP Portal)	05-02-2021
4.	Approval for award of contract to NBCC (India) Ltd. (BoG 33.03)	05-05-2021
5.	Memorandum of Understanding between NIT, Uttarakhand and NBCC (India) Ltd.	16-07-2021
6.	Tender floated by NBCC (India) Ltd. (1 st Time)	13-10-2022
7.	Opening of price bids of 1 st Tender (L1 Cost – ₹ 638.40 Crores)	23.01.2023
8.	Deliberations in BoG 45.01 - Formation of Committee to look into the terms and conditions of tender and higher cost of L1 bidder	01.03.2023
9.	Meetings of the Committee as formed by BoG, NITUK	29.03.2023, 31.03.2023, 03.04.2023, 11.04.2023
10.	Approval for re-tendering - 19 th BWC	05.06.2023
11.	Opening of price bids after Re-Tender (L1 Cost – ₹ 594.46 Crores)	17.07.2023

NIT Uttarakhand vide its letter no NITUK/P&D/2023/298, dated 24.05.2023 informed NBCC (India) Ltd. to publish the tender for construction and development works. NBCC (India) Ltd. informed vide letter no. NBCC/RBG/Sr. ED/UKD/2023/503, dated 09.06.2023 (**Annexure FC 35.04-A**) that the tender for the work has been published on 02.06.2023 with tender closing date as 03.07.2023 and estimated cost put to tender was kept as Rs. 545.67 cr. The pre bid conference was held on 12.06.2023.

Further NBCC (India) Ltd. vide letter no. NBCC/RBG/UUDN/Sr.ED/2023/606, dated 13.07.2023 (**Annexure FC 35.04-B**) informed the status of tender for appointment of contractor which is as under:

1. The technical bid of the tender was opened on 03.07.2023.
2. Several bidders have shown interest in the tender and the list of bidders who have shown interest in the tender is as follows:

- (i) M/s Girdhari Lal Construction Pvt. Ltd.
- (ii) M/s Sam India Builtwell Pvt. Ltd.
- (iii) M/s NCC Ltd.
- (iv) M/s J Kumar Infraprojects Ltd.
- (v) M/s Dee Vee Projects Ltd.
- (vi) M/s Varindera Construction Ltd.

3. Out of above six contractors a total of four bidders have submitted the bids for this tender and the list of bidders who have submitted their bids is as below:

- (i) M/s Girdhari Lal Construction Pvt. Ltd.
- (ii) M/s Sam India Builtwell Pvt. Ltd.
- (iii) M/s NCC Ltd.

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(iv) M/s Dee Vee Projects Ltd.

NBCC (India) Ltd. informed vide their letter no. NBCC/RBG/UUDN/Sr.ED/2023/647, dated 19th July, 2023 (**Annexure FC 35.04-C**) that, the price bids of the re-tender has been opened and on the basis of which the estimated cost of the project works out to the tune of approximately ₹ 650.85 crores.

On completion of the technical evaluation of the bids, the financial bids of the technically qualified bidders have been opened and their quoted price is as follow:

S.No.	Name of Bidder	Quoted Amount (₹)
1.	M/s Sam India Builtwell Pvt. Ltd.	594,46,00,000.00
2.	M/s NCC Ltd.	610,60,46,132.00
3.	M/s Dee Vee Projects Ltd.	624,68,28,966.82

Based on the above quoted price M/s Sam India Builtwell Pvt. Ltd. is the L-1 bidder among the above bidder.

It is pertinent to mention here that the Public Investment Board (PIB) vide above refereed letter has approved the project under component civil works amounting to ₹596.75 crores. The estimated cost worked out on the basis of re-tendering cost and TPQA charges approved by PIB are given in Table below:

(All Figures in Crores)

Component	Approved Cost as per PIB	Estimated Cost after Re-Tendering
Construction Cost	596.75	594.460
Contingency (3%)		17.8338
TPQA (0.5%)		2.9723
PMC Charges (4.90%)		30.1480389
GST on PMC Charges (18%)		5.4266470
Grand Total	596.75	650.8407859

To meet out the escalated cost of Rs. 54.10 crores, communication has been done with Ministry of Education vide letter no. NITUK/Dir. Office/2023/1085 dated 23.07.2023 (**Annexure FC 35.04-D**).

Finance Committee is requested to deliberate over the same and approve the same subject to receiving of additional grant of Rs.54.10 Crores from MoE.

Resolution: Finance Committee discussed the matter in details. Mr. Yogesh Sharma from NBCC was invited as special invitee for this particular agenda item. He explained the reasons for increase in the cost and submitted that the L-1 cost is reasonable and justified. He also submitted that the contract with L-1 vendor will be fixed price contract and there will be no escalation in the contract value. The L-1 cost was also reviewed by the Internal Institute Committee of Civil Engineering faculty members under the Chairmanship of Associate Dean (P&D-I) and this committee also recommended that L-1 cost is reasonable and justified.

Finance Committee directed that any change in the scope of work shall be placed in the BWC, FC and BoG for approval and the clause of penalty shall be ensured in the contract. Finance Committee recommends the proposal for approval to the Board subject to receiving of additional grant of Rs.54.10 Crores from MoE.

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FC 35.08: Procurement of 65 Desktops for various department of the Institute.

As per the approved Annual Procurement Plan 2023, various departments/section have submitted requirement of 65 desktops of total estimated amount of Rs.73.17 Lakhs which was finalized by the heads committee. The minutes for the same are enclosed as **(Annexure FC 35.05)**.

Finance Committee is requested to approve the same.

Resolution: Finance Committee recommends the procurement of 65 Desktops through GeM to the Board. The Make in India guidelines should be ensured.

FC 35.09: Regarding the validity extension of empanelment of Himalayan Hospital, Dehradun and Max Super Specialty Hospital, Dehradun.

Currently, the institute is providing medical services to its employees in accordance with CS (MA) guidelines (FC14.06). However, due to the remoteness of the site, Srinagar's medical facilities are only available at the sub-district hospitals of Khirsu Block (Base Hospital Srinagar and combined hospital Srinagar). Keeping in view the welfare of the students, employees of NIT Uttarakhand, and their dependents the institute has empaneled medical services in Srinagar and other locations at subsidized rates. The list of empaneled Medical Services is attached as **(Annexure FC 35.06)**.

Out of the empaneled medical hospitals, the validity of Himalayan Hospital, Dehradun and Max Super Specialty Hospital, Dehradun has expired on 31/05/2023. It is requested to further extend the validity till further orders at same terms and condition. Empaneling the services would not attract any extra financial implications for the institute. Moreover, the employees would be reimbursed for the expenditure incurred on the medical treatments as per CS (MA) guidelines.

Finance Committee is requested to approve the validity extension of empanelment of Himalayan Hospital, Dehradun and Max Super Specialty Hospital, Dehradun for further one year. Director may be authorized to grant extension for validity of empaneled hospitals in future.

Resolution: Finance Committee recommends the proposal to the Board for approval. The reimbursement shall be as per CGHS rates only.

FC 35.10: Any other item with the permission of the Chair.

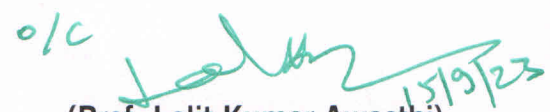
Resolution: NIL.

The meeting ended with vote of thanks to the Chair.



(Dr. Dharmendra Tripathi)
Member Secretary, FC

Approved


(Prof. Lalit Kumar Awasthi)
I/c Chairman, BoG and Director